



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hui et al.

Serial No.: 09/916,197

Filed: July 26, 2001

For: METHOD FOR ENCAPSULATING  
INTERMEDIATE CONDUCTIVE  
ELEMENTS CONNECTING A  
SEMICONDUCTOR DIE TO A SUBSTRATE  
AND SEMICONDUCTOR DEVICES SO  
PACKAGED

Examiner: Unknown

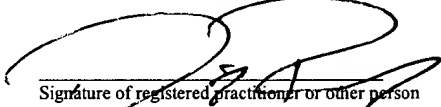
Group Art Unit: 2841

Attorney Docket No.: 4712US (99-1054)

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PRELIMINARY AMENDMENT

Box Non-Fee Amendment  
Commissioner for Patents  
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Sir:

Prior to examination of the above-referenced patent application on the merits, entry of the amendments as set forth herein is respectfully solicited.

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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2841

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/916,197
Filing Date	7/27/2001
First Named Inventor	Hui et al.
Group Art Unit	2841
Examiner Name	Unknown
Attorney Docket Number	2269-4712US

## ENCLOSURES (check all that apply)

- ☒ Postcard receipt acknowledgment (attached to the front of this transmittal)
- ☒ Duplicate copy of this transmittal sheet in the event that additional filing fees are required under 37 C.F.R. § 1.16
- ☒ Preliminary Amendment with Appendices A, B, C and D
- ☐ Response to Restriction Requirement/Election of Species Requirement dated
- ☐ Amendment in response to office action dated
- ☐ Amendment under 37 C.F.R. § 1.116 in response to final office action dated
- ☐ Additional claims fee - Check No. in the amount of \$
- ☒ Letter to Chief Draftsman and copy of FIGS. 1, 3, 4, 4A, 5, 6 and 8C with changes made in red
- ☒ Transmittal of Formal Drawings
- ☒ Formal Drawings (5 sheets)

- ☐ Information Disclosure Statement, PTO/SB/08A (08-00); ☐ copy of cited references
- ☐ Supplemental Information Disclosure Statement; PTO/SB/08A (08-00); copy of cited references and Check No. in the amount of \$180.00
- ☐ Associate Power of Attorney
- ☐ Petition for Extension of Time and Check No. in the amount of \$
- ☐ Petition
- ☐ Fee Transmittal Form
- ☐ Certified Copy of Priority Document(s)
- ☐ Assignment Papers (for an Application)

- ☐ Terminal Disclaimer
- ☐ Terminal Disclaimer
- ☐ Terminal Disclaimer
- ☐ Other Enclosure(s) (please identify below):

Remarks

The Commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 and 1.17 to Deposit Account 20-1469 during pendency of this application.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Brick G. Power

Registration No. 38,581

Signature

*Brick G. Power*

Date

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